Unitarization in Kaluza-Klein theory and the Geometric Bootstrap

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James Bonifacio, KH: arxiv:1910.04767, arxiv:2007.10337 James Bonifacio: arxiv:2107.09674

Massive spin-1 scattering in the Standard Model

Scattering of longitudinal modes of W, Z bosons:



Grows with energy, violates perturbative unitarity at $\sim 1~{\rm TeV}$

Something interesting must happen before this scale: no-lose theorem for LHC

Higgs mechanism

Adding a scalar softens high-energy behavior:



Restores perturbative unitarity

Weakly coupled UV completion (Higgs mechanism)

Massive spin-2 scattering

Generic interactions (Einstein-Hilbert plus a graviton potential)

Arkani-Hamed, Georgi, Schwartz (2003)



For special choices of interaction (dRGT massive gravity), de Rham, Gabadadze, Tolley (2010) this can be improved to E^6

This is the best that can be done without new particles James Bonifacio, KH (1804.08686)

Gravitational Higgs mechanism?

Can we do better by adding a finite number of new particles with spin < 2?





No.

Kaluza-Klein theory

We know that we should be able to do better by adding an infinite number of new particles with spin ≤ 2

$$ds^{2} = \bar{G}_{A_{1}A_{2}} dX^{A_{1}} dX^{A_{2}} = \eta_{\mu\nu} dx^{\mu} dx^{\nu} + \gamma_{mn} dy^{m} dy^{n}$$

N compact smooth dimensions



Kaluza-Klein amplitudes

James Bonifacio, KH (1910.04767) Chivukula, Foren, Mohan, Sengupta, Simmons (2019-2020)

Higher dimensional theory is pure GR \rightarrow Graviton amplitudes grow with energy like $\sim E^2$

Lower dimensional theory, keeping all KK modes, is just a re-writing of higher dimensional GR \implies amplitudes should still grow like $\sim E^2$

Lower dimensional theory has massive spin-2 states in the spectrum: how is their high energy scattering softened to E^2 ?



Kaluza-Klein theory

$$ds^2 = \bar{G}_{AB} dX^A dX^B = \eta_{\mu\nu} dx^\mu dx^\nu + \gamma_{mn} dy^m dy^n$$

N compact smooth dimensions

Higher dimensional Einstein equations

Internal manifold is an *Einstein manifold* :

$$R_{mn}(\gamma) = \lambda \, \gamma_{mn}$$

Non-trivial constraints will require this condition

Lower dimensional spectrum is determined by various Laplacians on the internal manifold

scalar (ordinary Laplacian) vector (Hodge Laplacian) tensor (Lichnerowicz Laplacian)

Scalar Laplacian

$$\Delta \psi_a \equiv -\Box \psi_a = \lambda_a \psi_a, \qquad \lambda_a > 0$$

Orthonormality

Completeness

Conformal scalars (exist only on round spheres)

$$\left(\nabla_m \nabla_n - \frac{1}{N} \gamma_{mn} \Box\right) \psi_a = 0, \quad a \in I_{\text{conf.}},$$

Lichnerowicz bound

$$\lambda_a \geq \frac{R}{N-1}$$
saturated only by conformal scalars

Vector Laplacian

Hodge Laplacian:

$$\Delta Y_{m,i} \equiv -\Box Y_{m,i} + R_m^n Y_{n,i} = \lambda_i Y_{m,i}, \quad \nabla^m Y_{m,i} = 0, \qquad \lambda_i \ge 0$$

Orthonormality

Completeness (Hodge decomposition)

$$\int_{\mathcal{N}} Y_{m,i_1} Y_{i_2}^m = \delta_{i_1 i_2}. \qquad \qquad V_m = \sum_i c^i Y_{m,i} + \sum_a c^a \partial_m \psi_a,$$

Killing vectors

$$\nabla_{(m}Y_{n),i} = 0, \quad i \in I_{\text{Killing}}.$$

Bound

$$\lambda_i \geq \frac{2R}{N}$$
saturated only by Killing vectors

Tensor Laplacian

Lichnerowicz Laplacian:

$$\Delta_L h_{mn,\mathcal{I}}^{TT} \equiv -\Box h_{mn,\mathcal{I}}^{TT} + \frac{2R}{N} h_{mn,\mathcal{I}}^{TT} - 2R_m{}^p{}_n{}^q h_{pq\mathcal{I}}^{TT} = \lambda_{\mathcal{I}} h_{mn,\mathcal{I}}^{TT}, \qquad \nabla^m h_{mn,\mathcal{I}}^{TT} = h_m^{TTm} = 0,$$

Orthonormality

$$\int_{\mathcal{N}} h_{mn,\mathcal{I}_1}^{TT} h_{\mathcal{I}_2}^{mn,TT} = \delta_{\mathcal{I}_1 \mathcal{I}_2}$$

Completeness (symmetric tensor Hodge decomposition)

$$T_{mn} = \sum_{\mathcal{I}} c^{\mathcal{I}} h_{mn,\mathcal{I}}^{TT} + 2 \sum_{i \notin I_{\text{Killing}}} c^{i} \nabla_{(m} Y_{n),i} + \sum_{a \notin I_{\text{conf.}}} \tilde{c}^{a} \left(\nabla_{m} \nabla_{n} \psi_{a} - \frac{1}{N} \nabla^{2} \psi_{a} \gamma_{mn} \right)$$
$$+ \sum_{a} \frac{1}{N} c^{a} \psi_{a} \gamma_{mn} + \frac{1}{NV^{1/2}} c^{0} \gamma_{mn},$$

moduli space of Einstein structures ("zero" modes)

$$\lambda_{\mathcal{I}} = 2R/N$$

No known general lower bound (there may be finite number of negative eigenvalues)

Spectrum

Expand metric over eigenfunctions:

$$G_{AB} = \bar{G}_{AB} + H_{AB} \quad , \qquad \qquad H_{A_1A_2} = \begin{pmatrix} H_{\mu\nu} & H_{\mu n} \\ H_{m\nu} & H_{mn} \end{pmatrix} \quad ,$$

$$\begin{split} H_{\mu\nu}(x,y) &= \sum_{a} h^{a}_{\mu\nu}(x)\psi_{a}(y) + \frac{1}{\sqrt{V}}h^{0}_{\mu\nu}(x), \\ H_{\mu n}(x,y) &= \sum_{i} A^{i}_{\mu}(x)Y_{ni}(y) + \sum_{a} A^{a}_{\mu}(x)\partial_{n}\psi_{a}(y), \\ H_{mn}(x,y) &= \sum_{\mathcal{I}} \phi^{\mathcal{I}}(x)h^{TT}_{mn,\mathcal{I}}(y) + \sum_{i\notin I_{\text{Killing}}} \phi^{i}(x)\nabla_{(m}Y_{n)i}(y) \\ &+ \sum_{a\notin I_{\text{conf.}}} \tilde{\phi}^{a}(x)\left(\nabla_{m}\nabla_{n}\psi_{a}(y) - \frac{1}{N}\nabla^{2}\psi_{a}(y)\gamma_{mn}\right) \\ &+ \frac{\gamma_{mn}}{N}\left[\sum_{a} \phi^{a}(x)\psi_{a}(y) + \frac{1}{\sqrt{V}}\phi^{0}(x)\right]. \end{split}$$

Spectrum

Lower dimensional spectrum:

massless graviton: $h^0_{\mu\nu}$ UUUUU $m_a^2 = \lambda_a$ $h^a_{\mu\nu}$ uuuuu massive gravitons: A^i_μ $\sim \sim \sim \sim \sim$ $m^2_i = \lambda_i - \frac{2R_{(N)}}{N}$ Killing vectors massless vectors: (isometries) ϕ^0 , ϕ^a — $m_a^2 = \lambda_a - \frac{2R_{(N)}}{N}$ zero mode is scalars: volume modulus zero modes massless $\phi^{\mathcal{I}}$ $m_{\mathcal{I}}^2 = \lambda_{\mathcal{I}} - \frac{2R_{(N)}}{N}$ scalars: (shape moduli)

Flat space spectrum

If we want to do S-matrix stuff, lower dimensional space should be flat



Massive spin-2 4-pt amplitude

 $h^{a_1}h^{a_2} \to h^{a_3}h^{a_4}$



Cubic Interactions



Quartic Interaction



$$g_{a_1a_2a_3a_4} \equiv \int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \psi_{a_3} \psi_{a_4}$$

Full Amplitude



 $\alpha_{10}, \alpha_8, \alpha_6, \alpha_4$ must independently vanish

E^{10} sum rule

$$\begin{aligned} g_{a_1a_2a_3a_4} &= \sum_a g_{a_1a_2}{}^a g_{a_3a_4a} + V^{-1} \delta_{a_1a_2} \delta_{a_3a_4} \\ &= \sum_a g_{a_1a_3}{}^a g_{a_2a_4a} + V^{-1} \delta_{a_1a_3} \delta_{a_2a_4} \\ &= \sum_a g_{a_1a_4}{}^a g_{a_2a_3a} + V^{-1} \delta_{a_1a_4} \delta_{a_2a_3} \end{aligned}$$

Mathematical property of eigenfunctions that must hold on any Einstein manifold

Completeness:
$$\overline{\psi_{a_1}\psi_{a_2}} = \sum_a g_{a_1a_2}{}^a\psi_a + V^{-1}\delta_{a_1a_2}$$

Can use this to reduce any multi-overlap integral $\int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \cdots \psi_{a_k}$ to sums of triple overlaps

Multiple ways to do this \rightarrow Associativity/crossing relations:

$$g_{a_1 a_2 a_3 a_4} = \int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \psi_{a_3} \psi_{a_4} = \int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \psi_{a_3} \psi_{a_4} = \int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \psi_{a_3} \psi_{a_4}$$

E^8 sum rule



comes from crossing with 2 derivative insertions:

$$\int_{\mathcal{N}} \psi_{a_1} \psi_{a_1} \partial_m \psi_{a_1} \partial^m \psi_{a_1} = \int_{\mathcal{N}} \psi_{a_1} \psi_{a_1} \partial_m \psi_{a_1} \partial^m \psi_{a_1}$$

requires that a heavy tensor is exchanged, so there is an a^* such that

$$g_{a_1a_1a^*} \neq 0$$
 and $\frac{4}{3}\lambda_{a_1} < \lambda_{a^*} \implies \frac{2m_{\text{external}}}{\sqrt{3}} < m_{\text{exchanged}}$.

repeat argument with internal particle now external \rightarrow Unitarity requires an *infinite* tower of states

E^6 sum rule



(identical external flavors)

$$\sum_{a} P_{E^6} \left(\lambda_a / \lambda_{a_1} \right) \lambda_{a_1}^2 g_{a_1 a_1 a}^2 + 16N(N-1) \sum_{\mathcal{I}} g_{a_1 a_1 \mathcal{I}}^2 = 0,$$

$$P_{E^6}(x) = (4-3N)Nx^2 + 4(N^2-3)x + 16.$$

comes from crossing with 4 derivatives:

$$\int_{\mathcal{N}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \partial^m \psi_{a_1} \partial^n \psi_{a_1} = \int_{\mathcal{N}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \partial^m \psi_{a_1} \partial^n \psi_{a$$

E^4 sum rule

$$\alpha_4 = 0 \qquad \longrightarrow \qquad \sum_a P_{E^4} \left(\lambda_a / \lambda_{a_1} \right) \lambda_{a_1}^3 g_{a_1 a_1 a}^2 + 16(N-1) \sum_{\mathcal{I}} \lambda_{\mathcal{I}} g_{a_1 a_1 \mathcal{I}}^2 = 0,$$

(identical external flavors)
$$P_{E^4}(x) = x(x-4)((3N-2)x-4N).$$

comes from crossing with 6 derivatives:

$$\int_{\mathcal{N}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \Delta_L \left(\partial^m \psi_{a_1} \partial^n \psi_{a_1} \right) = \int_{\mathcal{N}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \Delta_L \left(\partial^m \psi_{a_1} \partial^n \psi_{a_1} \right)$$

E^4 sum rule

$$\sum_{a} P_{E^4} \left(\lambda_a / \lambda_{a_1} \right) \lambda_{a_1}^3 g_{a_1 a_1 a}^2 + 16(N-1) \sum_{\mathcal{I}} \lambda_{\mathcal{I}} g_{a_1 a_1 \mathcal{I}}^2 = 0,$$
$$P_{E^4}(x) = x(x-4)((3N-2)x-4N).$$

Assume $\lambda_{\mathcal{I}} \geq 0$. Then first term must be ≤ 0 , so there exists an eigenmode a^* such that

$$g_{a_1a_1a^*} \neq 0$$
 and $\frac{4N}{3N-2}\lambda_{a_1} \leq \lambda_{a^*} \leq 4\lambda_{a_1}$.

For closed Ricci-flat manifolds with special holonomy,



$$\frac{\lambda_{k+1}}{\lambda_k} \le 4,$$

where λ_k is the k^{th} nonzero eigenvalue of the scalar Laplacian.

Bounds the gaps between KK excitations of the graviton. (No EFT with a finite number of massive gravitons from KK)

Also applies to smooth Calabi-Yau compactifications of string theory and G_2 compactifications of M-theory.

E^4 sum rule

Constraint on eigenvalue gaps for closed Ricci flat with $\lambda_{\mathcal{I}} \geq 0$.

$$\frac{\lambda_{k+1}}{\lambda_k} \le 4$$

Includes all known cases of closed Ricci flat manifolds

This bound is optimal: it is saturated in every dimension by the first distinct nonzero eigenvalues on certain tori.

Example: Quintic Calabi-Yau (volume = 1) V. Braun, T. Brelidze, M. R. Douglas, and B.A. Ovrut (2008)

$$\lambda_k \in \{41.1 \pm 0.4, 78.1 \pm 0.5, 82.1 \pm 0.3, 94.5 \pm 1, 102 \pm 1\},\$$

Einstein condition essential: general closed Riemannian manifolds have no such bound:

<u>de Verdiere's theorem</u>: given a closed manifold of dimension $N \ge 3$ and any finite sequence of nondecreasing positive numbers,

$$0 < \lambda_1 \le \lambda_2 \le \cdots \le \lambda_k,$$

then there exists a metric such that this is the sequence of the first k nonzero eigenvalues.

Geometry/CFT analogy

Einstein Manifolds	m CFTs
eigenfunctions ψ_a	primary operators
eigenvalues λ_a	scaling dimensions
overlap integrals $g_{a_1a_2\cdots a_k} \equiv \int_{\mathcal{N}} \psi_{a_1}\psi_{a_2}\cdots\psi_{a_k}$	correlators
covariant derivatives ∇_n	descendent operators
$ ext{completeness} \qquad \sqrt[4]{\psi_{a_1}\psi_{a_2}} = \sum_a g_{a_1a_2}{}^a\psi_a + V^{-1}\delta_{a_1a_2}$	OPE
sum rules $\int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \psi_{a_3} \psi_{a_4} = \int_{\mathcal{N}} \psi_{a_1} \psi_{a_2} \psi_{a_3} \psi_{a_4}$	crossing relations
Lichnerowicz bound $\lambda_a \ge \frac{R}{N-1}$,	unitarity bound
Geometry data λ_a , $g_{a_1a_2a_3}$	CFT data

Geometric bootstrap

Like CFT bootstrap, exploit crossing relations to constrain the data

Crossing relations for a general Einstein manifold (not necessarily Ricci flat):

$$\begin{split} \int_{\mathcal{M}} \partial_m \psi_{a_1} \partial^m \psi_{a_1} \psi_{a_1} \psi_{a_1} &= \int_{\mathcal{M}} \partial_m \psi_{a_1} \partial^m \psi_{a_1} \psi_{a_1} \psi_{a_1} \\ \int_{\mathcal{M}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \partial^m \psi_{a_1} \partial^n \psi_{a_1} &= \int_{\mathcal{M}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \partial^m \psi_{a_1} \partial^n \psi_{a_1}, \\ \int_{\mathcal{M}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \Delta_L (\partial^m \psi_{a_1} \partial^n \psi_{a_1}) &= \int_{\mathcal{M}} \partial_m \psi_{a_1} \partial_n \psi_{a_1} \Delta_L (\partial^m \psi_{a_1} \partial^n \psi_{a_1}) \\ & \checkmark \\ V^{-1} \vec{F_1} + \frac{1}{\lambda_{a_1}^2} \sum_{\mathcal{I}} \vec{F_2} g_{a_1 a_1 \mathcal{I}}^2 + \sum_{a \notin I_{\text{conf.}}} \left[\vec{F_3} + \frac{R\vec{F_4}}{(N-1)\lambda_a - R} \right] g_{a_1 a_1 a}^2 = 0, \end{split}$$

$$\begin{split} \vec{F_1} &= \left(4, -16, 0\right), \\ \vec{F_2} &= \left(0, 16N(N-1), 16N(N-1)\frac{\lambda_{\overline{L}}}{\lambda_{a_1}}\right), \\ \vec{F_3} &= \left(4 - \frac{3\lambda_a}{\lambda_{a_1}}, \frac{N\lambda_a}{\lambda_{a_1}} \left(4N + \frac{(4-3N)\lambda_a}{\lambda_{a_1}}\right), \frac{N\lambda_a}{\lambda_{a_1}} \left(4 - \frac{\lambda_a}{\lambda_{a_1}}\right) \left(4N - \frac{(3N-2)\lambda_a}{\lambda_{a_1}}\right)\right), \\ \vec{F_4} &= \left(0, \left(4 + \frac{(N-2)\lambda_a}{\lambda_{a_1}}\right)^2, \frac{\lambda_a}{\lambda_{a_1}} \left(4 + \frac{(N-2)\lambda_a}{\lambda_{a_1}}\right)^2\right). \end{split}$$

Geometric bootstrap

postulate some candidate geometric data, (a collection of eigenvalues and triple overlap integrals)

search for a constant vector $\vec{\alpha} \in \mathbb{R}^3$ such that the condition

$$V^{-1}\vec{\alpha}\cdot\vec{F_{1}} + \frac{1}{\lambda_{a_{1}}^{2}}\sum_{\mathcal{I}}\vec{\alpha}\cdot\vec{F_{2}}\,g_{a_{1}a_{1}\mathcal{I}}^{2} + \sum_{a\notin I_{\text{conf.}}}\left[\vec{\alpha}\cdot\vec{F_{3}} + \frac{R\,\vec{\alpha}\cdot\vec{F_{4}}}{(N-1)\lambda_{a}-R}\right]g_{a_{1}a_{1}a}^{2} = 0$$

can never be satisfied by this data.

If such an $\vec{\alpha}$ exists, candidate geometric data is ruled out

problem of finding such an $\vec{\alpha}$ can be formulated as a semidefinite program (SDP) D. Poland, D. Simmons-Duffin, A. Vichi (2011)

can be solved using SDPB, and Mathematica in simpler cases

D. Simmons-Duffin, (2015)

Upper bound on the ratio of the 2 lowest lying scalar eigenvalues, as a function of the smallest Lichnerowicz eigenvalue

Assumptions: $R \ge 0$



Upper bound on the ratio of the 2 lowest lying scalar eigenvalues, as a function of the smallest Lichnerowicz eigenvalue

Assumptions: $R \ge 0$, \mathbb{Z}_2 symmetry under which ψ_{a_1} is odd



allowed values of the 2 lowest lying scalar eigenvalues, relative to the curvature

Assumptions: N = 4, R > 0, $\lambda_{a_3} \ge \frac{3R}{2}$, $\lambda_{\mathcal{I}} \ge \frac{4R}{3}$



Upper bound on the ratio of the 3rd to 1st scalar eigenvalue vs. the 2nd to 1st scalar eigenvalue

Assumptions: $R \ge 0$, $\lambda_{\mathcal{I}} \ge 0$.



Upper bound on lightest massive spin-2 self-coupling



Assumptions: $R \ge 0$, $\lambda_{\mathcal{I}} \ge 0$.



Upper bound on lightest massive spin-2 self-coupling as a function of the ratio of the lightest 2 eigenvalues

Assumptions: $R \ge 0$, $\lambda_{\mathcal{I}} \ge 0$







Upper bounds on massive spin-2 coupling of lightest to next lightest mode

Assumptions: $R \ge 0$, $\lambda_{\mathcal{I}} \ge 0$, \mathbb{Z}_2 symmetry



 $|g_{a_1a_1a_2}|\sqrt{V}$ 8 7 N = 20 $\mathbf{6}$ 5N = 443 N = 22 \mathbb{T}^N S^4 S^2 1 $\lambda_{a_2}/\lambda_{a_1}$ 23 1 4

Bounds on closed hyperbolic manifolds

James Bonifacio: arxiv:2107.09674

Allowed lowest eigenvalues on Genus g surfaces:



Conclusions and open questions

• New non-trivial constraints on the possible eigenvalue spectra and triple overlap integrals of Einstein manifolds

• They come from crossing relations on quadruple overlap integrals. The same relations ensure the correct high-energy behavior of KK reductions of gravity

- Do any non-trivial manifolds live at the kinks of our bootstrap bounds?
- Can any non-trivial manifolds be isolated by bootstrap bounds?

• Is a manifold uniquely determined by its geometric data? (can't hear the shape of a drum, but perhaps with triple overlaps?)

• Are there data that satisfy all the crossing relations which do not come from any manifold? (a non-geometric Kaluza-Klein compactification)